6270

Establishing Effective Material Compliance Processes

Material compliance requirements have increased significantly in recent years. Regulatory obligations and rising expectations throughout the supply chain have made compliance with substance regulations an integral part of modern management systems. Organizations are increasingly challenged to achieve transparency over materials and to provide robust, audit-ready evidence of compliance.

Within the context of ISO 9001, material compliance is closely linked to process-oriented working practices, risk-based thinking, and clearly defined responsibilities. In addition, IEC 63000 provides a normative framework for structured and auditable technical documentation of material conformity, forming a critical foundation for practical implementation.

Against this backdrop, a systematic approach to material compliance—fully integrated into existing organizational structures—is becoming increasingly important. The key focus is not only on meeting regulatory requirements, but on embedding compliance sustainably into processes, systems, and collaboration across the entire value chain.

>> OBJECTIVE

Participants will be able to systematically classify material compliance requirements and understand their role within management systems such as ISO 9001 as well as within the framework of IEC 63000. They will gain a clear understanding of how a company-wide material compliance process is structured, which roles and interfaces are required, and how legal requirements can be captured and managed in a structured way.

In addition, participants will understand how data and information management, supplier communication, and appropriate tools contribute to ensuring compliance and audit readiness—and how these elements can be effectively integrated into existing processes and systems.

Your Advantage: Leverage this knowledge to implement a clearly structured, future-proof compliance approach—moving away from reactive proof obligations toward a strategic competitive advantage based on systematic, reliable, and versatile material data.

>> NOTICE

This training course is part of the certification program “Material Compliance Manager” (PersCert TÜV).

Further information is available online:

Material Compliance Certification Program (TÜV PersCert) – imds professional

© imds professional GmbH & Co. KG

imds professional GmbH & Co. KG
www.imds-professional.com
info@imds-professional.com

6270

Establishing Effective Material Compliance Processes

imds professional environmental product compliance

>> TOPICS COVERED

Introduction and Legal Framework

- Objective: Establishment of an integrated system to fulfill material compliance obligations
- Link between ISO standards and regulatory requirements
- Importance of verification, documentation, and audit obligations
- Normative framework: IEC 63000 – Technical documentation for the material conformity of products

Integration into Management Systems

- Embedding material compliance within integrated management systems (ISO 9001, ISO 14001, ISO 45001, ISO 50001, EMAS)
- Integration into process landscapes, audit programs, and management reviews
- Harmonization with existing procedures and governance structures
- Added value for risk management, quality, and sustainability

Legal Register and Requirements Management

- Establishment and maintenance of a material compliance legal register
- Linking with existing environmental, energy, and occupational safety registers
- Assessment of relevance, obligations, and responsibilities
- Implementation of changes, documentation, and evidence management
- Use of digital tools for efficient administration

Process Design, Roles, and Responsibilities

- Development of a companywide material compliance process with clear workflows and interfaces
- Definition of key roles (e.g. material compliance officer, purchasing, quality, development)
- Integration into approval, change management, and procurement processes
- Cross departmental collaboration and escalation mechanisms
- Documentation of responsibilities and training measures

Data and Information Management

- Potential data sources
- Ensuring data quality, traceability, and currency
- Integration of compliance data into PLM, ERP, and quality management systems
- Automated workflows and version control

Communication and Monitoring

- Structured communication with suppliers and customers using standardized formats
- Monitoring of process performance and data quality
- Integration into internal audits and corrective and improvement processes

Tools for Process Support

- Data sources: supplier information, IMDS/CDX data, material databases
- Digital systems for control, documentation, and traceability
- Use of dashboards and reporting structures for transparency and evidence

Practical Examples and Best Practices

- Example: Implementation of a material compliance process
- Integration into management systems and legal registers
- Audit-compliant documentation and successful supply chain communication

Outlook and Trends

- Automation and digital integration of compliance processes
- Linkage with sustainability and ESG reporting
- Global governance structures and Catena-X data ecosystems

imds professional GmbH & Co. KG
www.imds-professional.com
info@imds-professional.com

© imds professional GmbH & Co. KG

Conducted by:
imds professional GmbH & Co.KG
Waschweg 3
61276 Weilrod (Germany)

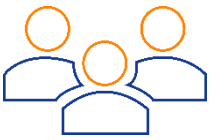
imds professional
environmental
product compliance

Teaching Method

Lecture with demonstrations, best practices, exercises, and Q&A sessions

Duration

360 Minutes
(6 Hours)



Max. Number of Participants

14

>> TARGET GROUP

Specialists and managers from quality management, environmental management, sustainability, regulatory affairs, purchasing, product development, and material compliance, as well as anyone involved in implementing legal requirements related to products and supply chains.

>> PERSONAL PREREQUISITES

Participation in the seminar “Material Compliance Compact – An Introduction (6280)” or basic knowledge of substance regulations and product conformity is recommended. A general understanding of management systems such as ISO 9001 or ISO 14001 is beneficial.

>> CERTIFICATE OF PARTICIPATION

Each participant will receive a personalized certificate of attendance as proof of qualification.

>> TRAINING DOCUMENTATION

You will receive a copy of the presentation used in class as a PDF.

>> PUBLIC TRAINING

Current prices and dates can be found on our website www.imds-professional.com

>> EXCLUSIVE TRAINING

This training course can also be booked exclusively for your organization, either as a webinar or as an in-person session.
Your advantage: You choose the location, date, and number of participants, and you can set the focus areas of the content.

Phone: +49 60 83 91 30 0
info@imds-professional.com
www.imds-professional.com

© imds professional GmbH & Co. KG