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Material Compliance — Legal Foundations

Material Compliance (MC) is a cross-company responsibility. Missing processes, inadequate documentation, or insufficient proof of compliance can result in substantial fines, criminal prosecution, product recalls, and significant reputational risks.

>> OBJECTIVE

This seminar provides an overview of the legal fundamentals, liability risks, and consequences of non-compliance, and demonstrates how risks for company management and the organization can be systematically minimized.

Participants will gain an understanding of the legal framework for Material Compliance (MC), be able to assess compliance structures, implement control mechanisms, and evaluate the consequences of breaches of duty.

Your Advantage: Experts with many years of industry experience in the fields of materials and product compliance share their expertise with you.

>> NOTICE

This training is part of the certificate program “Material Compliance Manager” (PersCert TÜV).

Further information is available at www.imds-professional.com

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>> TOPICS COVERED

Introduction and Legal Context

- Why Material Compliance is crucial for companies
- Objective: minimizing risks for company management and the company
- Overview of relevant regulations, and standards
- Structure of substance related requirements

Corporate Obligations

- Responsibilities of company management and Material Compliance officers
- Organization and implementation of effective Material Compliance structures
- Ensuring processes, documentation, and evidence of compliance
- Allocation of resources
- Training and delegation of responsibilities
- Integration of risk management and continuous monitoring

Control and Monitoring

- Internal controls: audits, inspections, risk assessments
- External controls: market surveillance by authorities
- Legal basis: law on the prevention of threats to public safety and order
- Roles and responsibilities of authorities
- Government actions in cases of non-compliance
- Monitoring as an ongoing process within the company

Liability and Legal Risks

- Fundamental principles: breach of duty, guilt, and responsibility
- Corporate liability (civil and public law)
- Manufacturer and product liability
- Personal liability of company management and responsible persons
- Administrative offenses and fines
- Criminal law risks
- Risks under competition law and warning letters

Consequences of Non-Compliance

- Financial implications for the company
- Personal consequences for the company management and responsible persons
- Government actions: bans, recalls, conditions
- Civil-law claims and damages
- Reputational and market impact
- Effects on supply chains and customer relationships

Practical Examples and Best Practices

- Typical cases from industry and SMEs
- Measures to prevent risks and organize compliance
- Actionable recommendations for company management

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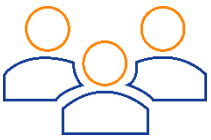
product compliance

Teaching Method

Lecture with questions and answers

Duration

180 Minutes
(3 Hours)



Max. Number of Participants

14

>> TARGET GROUP

Specialists and managers from executive management, Material Compliance, quality management, environmental management, regulatory affairs, product development, and purchasing, as well as anyone responsible for implementing legal requirements for products and supply chains.

A particular focus is on company management and Material Compliance officers.

>> PERSONAL PREREQUISITES

Prior participation in the seminar **"Material Compliance Compact – An Introduction (6280)"** or basic knowledge of substance regulations, product conformity, and organizational responsibilities is recommended.

>> CERTIFICATE OF PARTICIPATION

Each participant will receive a personalized certificate of attendance as proof of qualification.

>> TRAINING DOCUMENTATION

You will receive a copy of the presentation used in class as a PDF.

>> PUBLIC TRAINING

Current prices and dates can be found on our website www.imds-professional.com

>> EXCLUSIVE TRAINING

This training course can also be booked exclusively for your organization, either as a webinar or as an in-person session.
Your advantage: You choose the location, date, and number of participants, and you can set the focus areas of the content.

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